



|   |                  |            |
|---|------------------|------------|
| Modified Form PTO-1449<br><br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) | Atty. Docket No. | Serial No. |
|   | A-378-CIP2C2     | 09/405,032 |
|   | Applicant        |            |
|   | Boyle et al.     |            |
| Filing Date   | Group            |            |
| 09/24/99  | 1643 1647        |            |

**U.S. PATENT DOCUMENTS**

| EXAMINER'S INITIALS |    | DOCUMENT NUMBER | DATE     | NAME          | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|----------|---------------|-------|-----------|----------------------------|
| RMD                 | AA | 4,179,337       | 12/18/79 | Davis et al.  | —     | —         | —                          |
| I                   | AB | 4,710,473       | 12/1/87  | Morris        | —     | —         | —                          |
| RMD                 | AC | 5,447,851       | 09/05/95 | Beutler et al | —     | —         | —                          |

**FOREIGN PATENT DOCUMENTS**

|     |    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES | TRANSLATION NO |
|-----|----|-----------------|----------|---------|-------|-----------|-----------------|----------------|
| RMD | BA | 7-054977        | 7/21/95  | Japan   | —     | —         | —               | —              |
| I   | BB | 7-207508        | 2/20/95  | Japan   | —     | —         | —               | —              |
|     | BC | WO 90/14363     | 11/29/90 | PCT     | —     | —         | —               | —              |
|     | BD | EP 0 401 384    | 12/12/90 | EPO     | —     | —         | —               | —              |
|     | BE | WO95/11308      | 4/27/95  | PCT     | —     | —         | —               | —              |
|     | BF | WO96/26217      | 8/29/96  | PCT     | —     | —         | —               | —              |
|     | BG | *WO98/12344     | 3/26/98  | PCT     | —     | —         | —               | —              |
| RMD | BH | WO96/28546      | 9/19/96  | PCT     | —     | —         | —               | —              |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|   |    |  |
|---|----|--|
| RMD   | CA | Banner et al. Cell <u>73</u> , 431-445 (1993)                          |
| I   | CB | Beutler and van Huffel Science <u>264</u> , 667-663 (1994)             |
|   | CC | Beutler et al. Ann. Rev. Biochem. <u>57</u> , 505-518 (1988)           |
|   | CD | Brinster et al., Proc. Natl. Acad. Sci. USA <u>82</u> , 4338 (1985)    |
|   | CE | Capon et al. Nature <u>337</u> , 525-531 (1989)                        |
|   | CF | Chen et al., Chemistry <u>270</u> , 2874-2878 (1995)                   |
|   | CG | Chomczynski and Sacchi Anal. Biochem. <u>162</u> , 156-159, (1987)     |
|   | CH | Chou and Fasman Adv. Enz. <u>47</u> , 45-147 (1948)                    |
|   | CI | DeClerck et. atl. J. Biol. Chem. <u>266</u> , 3893 (1991)              |
|   | CJ | Eisenberg et al. Proc. Natl. Acad. Sci. USA <u>81</u> , 140-144 (1984) |
| RMD   | CK | Lewis et al., Proc. Natl. Acad. Sci. USA <u>88</u> , 2830-2834 (1991)  |
| EXAMINER: Regina M. Wilkey  |    | Date Considered: 3/14/01   |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

November 11, 1999

Date

Wendy Smeisen

Signature

Pages # 3

|   |                                  |                          |
|---|----------------------------------|--------------------------|
| Modified Form PTO-1449  | Atty. Docket No.<br>A-378-CIP2C2 | Serial No.<br>09/405,032 |
| <b>LIST OF REFERENCES CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) | Applicant<br>Boyle et al.        |                          |
|   | Filing Date<br>09/24/99          | Group<br>1643 1647       |

EXAMINER'S  
INITIALS**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|           |  |
|-----------|--|
| DA        | Ellison et al. (Nuc. Acids Res. <u>10</u> , 4071-4079 (1982) ✓   |
| DB        | Fisher et al. Cell <u>81</u> , 935-946 (1995)  |
| DC        | Gennaro A.R., <u>Remington's Pharmaceutical Sciences</u> , 18th ed. Mack, Easton, PA (1980)  |
| DD        | Goeddel et al. Cold Spring Harbor Symp. Quart. Biol. <u>51</u> , 597-609 (1986)  |
| DE        | Goeddel, D.V., <u>Methods of Enzymology</u> v. 185, ed. Academic Press (1990)  |
| DF        | Goldman et al. Ann. Rev. Biophys. Biophys. Chem. <u>15</u> , 321-353 (1986)  |
| DG        | Gribskov et al. (Proc. Natl. Acad. Sci. USA <u>83</u> , 4355-4359 (1987)   |
| DH        | Harlow and Lane, <u>Antibodies: A Laboratory Manual</u> Cold Spring Harbor Laboratory Press, Cold Spring Harbor N.Y. (1988)                                |
| DI        | Heldin, Cell <u>80</u> , 213-223 (1995)  |
| DJ        | Kohn et al. PNAS USA <u>87</u> , 8331-8335 (1990)  |
| DK        | Kraulis, J. Appl. Cryst. <u>24</u> , 946-950, 1991)  |
| DL        | Kyte and Doolittle (J. Mol. Biol. <u>157</u> , 105-132 (1982)  |
| DM        | Loetscher et al. Cancer Cells <u>3</u> (6), 221-226 (1991)   |
| DN        | Luethy et al. (Protein Science <u>3</u> , 139-146 (1994)   |
| DO        | Malik et al., Exp. Hematol. <u>20</u> : 1028-1035 (1992)   |
| DP        | Matsudaira et al. J. Biol. Chem. <u>262</u> , 10-35 (1987)   |
| DQ        | McDonald et al. Meth. Enzymol. <u>152</u> , 219 (1987)   |
| DR        | Nagata et al. Science <u>267</u> , 1449-1456 (1995)  |
| DS        | Ogden et al. Meth. Enzymol. <u>152</u> , 61 (1987)   |
| DT        | Pearson et al. Meth. Enzymol. <u>183</u> , (1990)  |
| DU        | Sambrook et al. <u>Molecular Cloning: A Laboratory Manual</u> , 2nd ed. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (1989)           |
| DV        | Simonet et al. J. Clin. Invest. <u>94</u> , 1310-1319 (1994)   |
| DW        | Smith, et al. Cell <u>76</u> , 953-962 (1994)  |
| DX        | Suda et al. Cell <u>75</u> , 1169-1178 (1993)  |
| DY        | Wigler et al. Cell <u>11</u> , 233 (1977)  |
| DZ        | Presentation at Joint Annual Conference Japanase Biochemical Society & Japanese Microbiological Society, 8/26/96 - 8/30/96 Abstracts 1-P-0538 and 1-P-0544 |
| EXAMINER: | Date Considered: 3/14/01   |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Paper # 3

Modified Form PTO-1449

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)  
(Supplemental)

Atty. Docket No.

A-378CIP2C2

Serial No.

09/408/038

Applicant

Boyle et al.

Filing Date

September 24, 1999

Group

1647

## U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|------|------|-------|-----------|----------------------------|
|                     | A1 |                 |      |      |       |           |                            |
|                     | A2 |                 |      |      |       |           |                            |
|                     | A3 |                 |      |      |       |           |                            |
|                     | A4 |                 |      |      |       |           |                            |
|                     | A5 |                 |      |      |       |           |                            |
|                     | A6 |                 |      |      |       |           |                            |

## FOREIGN PATENT DOCUMENTS

|     |    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES | NO |
|-----|----|-----------------|---------|---------|-------|-----------|-----------------|----|
| RMD | B1 | WO 00/24416     | 5/04/00 | PCT     | —     | —         | X               |    |
| RMD | B2 | WO 00/54651     | 9/21/00 | PCT     | —     | —         | X               |    |
|     | B3 |                 |         |         |       |           |                 |    |
|     | B4 |                 |         |         |       |           |                 |    |
|     | B5 |                 |         |         |       |           |                 |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|     |     |  |
|-----|-----|--|
| RMD | C1  | Adams et al, Science, <u>252</u> , 1651-1656 (1991)                      |
|     | C2  | Boyce et al, Endocrinology, <u>125</u> , 1142-1150 (1989)                |
|     | C3  | Kwon et al, FASEB Journal, <u>12</u> , 845-854 (1998)                    |
|     | C4  | Simonet et al, Cell, <u>89</u> , 309-319 (1997)                          |
|     | C5  | Tsuda et al, Biochem. & Biophys. Res. Comm., <u>234</u> , 137-142 (1997) |
|     | C8  | Udagawa et al, Proc. Natl. Acad. Sci. USA, <u>87</u> , 7260-7264 (1990)  |
|     | C7  | Udagawa et al, Endocrinology, <u>125</u> , 1805-1813 (1989)              |
|     | C8  | Yan et al, J. Biol. Chem., <u>266</u> , 12099-12104 (1994)               |
|     | C9  | Yasuda et al, Endocrinology, <u>139</u> , 1329-1337 (1998)               |
| RMD | C10 | Yun et al, J. Immunology, <u>161</u> , 6113-6121 (1998)                  |

EXAMINER:

Regina M. Weber

Date Considered:

3/14/01

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Paper # 6